



DANIEL ADVISORS

Privacy Policy

Types of Nonpublic Personal Information We Collect

Daniel Advisors collects nonpublic personal information about you that is provided by you or obtained with your authorization. We may collect nonpublic personal information about you from the following sources:

- Information Daniel Advisors receives from you on applications or other forms, and
- Information about your securities transactions with Daniel Advisors or other advisers and broker/dealers which may be providing financial services to you.

Parties to Whom We Disclose Information

It is the policy of Daniel Advisors that we do not disclose any nonpublic personal information about our clients or former clients to unaffiliated third parties, except as required by law. When necessary to provide all of the services you request for your account, Daniel Advisors may provide nonpublic personal information about you to certain third parties, such as clearing firms that serve or may serve as the custodian of your account, or that may affect securities transactions on your behalf. Such disclosure is only made to fulfill our duties to you in fully servicing your account. Under no circumstances do we sell your name or other information to anyone.

Protecting the Confidentiality and Security of Clients' Information

Daniel Advisors retains records relating to professional services that we provide so that we are better able to assist you with your needs. Daniel Advisors restricts access to your personal and account information to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards to guard your non-public personal information. If you decide to close your account(s) with Daniel Advisors or become an inactive client, Daniel Advisors will continue to adhere to the privacy policies and practices as described in this notice.